

City & County of Swansea Audit Committee Update – August 2016

Financial audit work 2015-16 – City & County of Swansea Pension Fund

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2015-16.	Audit Committee April 2016.
Financial Statements/Annual Audit Letter	Audit of the Pension Fund's 2015-16 financial statements and Annual Audit Letter.	Audit Committee September 2016.

Financial audit work 2015-16 – City & County of Swansea

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2015-16.	Audit Committee April 2016.
Financial Statements 2015-16	Audit of the Council's 2015-16 financial statements.	Audit Committee September 2016.
Certification of Grants and Returns 2015-16	Summary of grants and returns certification work 2015-16.	Audit Committee March 2017.
Annual Audit Letter	Report summarising our 2015-16 financial audit work.	Audit Committee December 2016.

Performance Audit work - City & County of Swansea

2015-16 Improvement Assessment	Scope	Status
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Draft issued August 2016
Corporate Assessment Follow up	Review of the Council's performance Management Arrangements. Key questions: Is the authority's approach to performance management enabling effective decision making and supporting service improvement?	Completed report issued August 2016
2015-16 Local Government Studies	Scope	Status
The strategic approach of councils to income generation and charging for services	Audit of councils' strategic approach to charging	Drafting, publication scheduled for October 2016
Council funding of third-sector services	The study looked at the level of investment in voluntary sector services to benchmark findings against 2009-10 to determine whether the principles of good governance in funding third sector services are being followed.	Drafting, publication scheduled for October 2016
The effectiveness of local community safety partnerships	The study looked at the effectiveness of community safety partnerships and the impact of the work of partnerships in delivering improvement within their communities.	Drafting, publication scheduled for September 2016

2016-17 Improvement Assessment	Scope	Status
Corporate Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Completed. Certificate issued June 2016.
Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Scheduled for November 2016
Financial Resilience	Councils are continuing to deal with austerity and an uncertain future. This review will seek to provide assurance that arrangements are focussed on maintaining resilience over the medium to long term.	In progress. Project brief issued.
Governance	This review will provide councils with a baseline from which to plan improvements to governance following the introduction of CIPFA's revised framework and the requirement for councils to adopt the sustainable development principle from April 2016	In progress. Project brief issued. Survey of members and officers scheduled for late August/September 2016.
Transformation	This baseline review will examine how councils are approaching transformation.	Consultation paper under development.
Local study	To be confirmed	To be confirmed
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Scheduled for August 2017

2016-17 Local Government Studies	Scope	Status
<p>Improving wellbeing through housing adaptations</p>	<p>We will review how adaptations prevent access to and speed up discharge from hospitals. The work will look at how health use adaptations to underpin their activity as well as how efficient and effective organisations are at procuring and delivering adaptations work.</p>	<p>In progress.</p>
<p>Strategic commissioning of learning disability services by local authorities</p>	<p>Fieldwork will focus on both the corporate approach to strategic commissioning but also use findings from the tracer area to understand how effective operational arrangements are. We are working with CSSIW and SSIA and will be building on recent national inspection and support work on learning disabilities.</p>	<p>In progress.</p>
<p>How local government manages demand - Homelessness services</p>	<p>The study will focus on homelessness in local authorities using the recent prevention duties placed on local authorities to judge how demand for services is managed.</p>	<p>In progress.</p>

National Studies update	
Study	Update and link to report
A Picture of Public Services 2015	Published here A Picture of Public Services 2015
The Welsh Government's Acquisition and Ownership of Cardiff Airport	Published here The Welsh Government's acquisition and ownership of Cardiff Airport
The Development of Natural Resources Wlaes	Published here The development of Natural Resources Wales
Coastal Flood and Erosion Risk Management	Published 21 July 2016 Coastal Flood and Erosion Risk Management in Wales
Welsh Government Investment in Rail Services and Infrastructure	Drafting in progress
Welsh Government oversight of further education finances and delivery	Fieldwork in progress
Public Procurement	Fieldwork in progress
Regional Educational Consortia	Fieldwork in progress